CORRECTED PROOF

# Severe Coronavirus Disease 2019 (COVID-19) is Associated With Elevated Serum Immunoglobulin (Ig) A and Antiphospholipid IgA Antibodies

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#### **Abstract**

#### **Background**

Severe coronavirus disease 2019 (COVID-19) frequently entails complications that bear similarities to autoimmune diseases. To date, there are little data on possible immunoglobulin (Ig) A-mediated autoimmune responses. Here, we aim to determine whether COVID-19 is associated with a vigorous total IgA response and whether IgA antibodies are associated with complications of severe illness. Since thrombotic events are frequent in severe COVID-19 and resemble hypercoagulation of antiphospholipid syndrome, our approach focused on antiphospholipid antibodies (aPL).

#### **Methods**

In this retrospective cohort study, clinical data and aPL from 64 patients with COVID-19 were compared from 3 independent tertiary hospitals (1 in Liechtenstein, 2 in Switzerland). Samples were collected from 9 April to 1 May 2020.

#### Results

Clinical records of 64 patients with COVID-19 were reviewed and divided into a cohort with mild illness (mCOVID; 41%), a discovery cohort with severe illness (sdCOVID; 22%) and a confirmation cohort with severe illness (scCOVID; 38%). Total IgA, IgG, and aPL were measured with clinical diagnostic kits. Severe illness was significantly associated with increased total IgA (sdCOVID, P = .01; scCOVID, P < .001), but not total IgG. Among aPL, both cohorts with severe illness significantly correlated with elevated anticardiolipin IgA (sdCOVID and

scCOVID, P < .001), anticardiolipin IgM (sdCOVID, P = .003; scCOVID, P < .001), and anti-beta 2 glycoprotein-1 IgA (sdCOVID and scCOVID, P < .001). Systemic lupus erythematosus was excluded from all patients as a potential confounder.

#### **Conclusions**

Higher total IgA and IgA-aPL were consistently associated with severe illness. These novel data strongly suggest that a vigorous antiviral IgA response, possibly triggered in the bronchial mucosa, induces systemic autoimmunity.

#### COVID-19, immunoglobulin A, autoimmunity, antiphospholipid syndrome, thromboembolisms

The novel coronavirus disease 2019 (COVID-19), caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has become a global pandemic with wide-ranging health and socioeconomic implications. After SARS and Middle East Respiratory Syndrome, it represents the third known spillover of a severe, coronavirus-associated disease from animals to humans in the last 20 years [1–3]. SARS-CoV-2 enters human cells by attachment to and subsequent internalization of angiotensin-converting enzyme 2 receptors that are highly expressed by Type II pneumocytes in the deep bronchial system [4], where immunoglobulin (Ig) A, produced in the bronchial-associated lymphoid tissue, is the main line of humoral defense [5]. Indeed, specific IgA production against the SARS-CoV-2 spike protein has been shown to appear early in infected patients [6]. However, despite the important role of IgA in mucosal immunity, the rate of total IgA generated by that response and its role in COVID-19 severity remain unexplored.

Autopsies have shown acute respiratory distress syndrome and sepsis to be the most common complications in critically ill COVID-19 patients [7]. A large case series from Northern Italy that assessed lung histologies of deceased COVID-19 patients presented consistent diffuse alveolar damage and necrosis of pneumocytes [8]. A distinctive factor of COVID-19 was a marked presence of diffuse thrombosis of the peripheral small vessels. This is in line with reports of frequent thromboembolisms in patients with severe COVID-19 that occur despite the prophylactic in-hospital use of low-weight molecular heparins [9, 10]. While the reasons remain unclear, a recent report describes a case series of severe COVID-19 patients with stroke and elevated levels of antiphospholipid (aPL) antibodies compatible with antiphospholipid syndrome (APS) [11]. APS is an acquired autoimmune disease that is mediated by autoantibodies directed against phospholipid-binding proteins and that leads to hypercoagulability. The most common trigger factor of APS is systemic lupus erythematosus (SLE), followed by lung infections with mycobacteria or viruses [12]. These cases suggest that COVID-19 leads to virally triggered APS in severe COVID-19 patients. To our knowledge, complete aPL profiling in mild and severe cases of COVID-19 has never been undertaken. The aim of this study was to explore whether patients with severe COVID-19 have elevated total IgA as an immediate immune response, and have aPL compatible with APS.

## **METHODS**

#### **Sources and Ethical Statement**

The multicenter cohort study was conducted at the Landesspital Liechtenstein (LLS) in Vaduz, Liechtenstein; the Kantonsspital St. Gallen (KSSG) in St. Gallen, Switzerland; and the University Hospital Zurich (USZ) in Zurich, Switzerland, in accordance with the Declaration of Helsinki guidelines. The collection of patient data and blood samples was approved by the respective local ethics committees of the participating study centers (project IDs 2020-00676, 2020-00821, and 2020-00646). All participants agreed to the hospitals' general consent policies allowing further use of clinical data and biologic material (LLS and KSSG), or signed an informed consent (USZ). Where signing of informed consent was not possible due to severe illness, and to prevent surface contamination, consent was sought verbally or from the next of kin, which had been approved by the respective local ethics committee.

## **Patient Data and Sample Collection**

Collection of patient data and samples (serum or plasma) was conducted from 9 April 2020 to 1 May 2020. All samples were obtained within 2 weeks of symptom onset. Patients had SARS-CoV-2 infection confirmed by either real-time reverse transcriptase-polymerase chain reaction (RT-PCR) of nasopharyngeal swab samples or serology. The methods of RT-PCR used to detect SARS-CoV-2 depended on the study center, and were performed as previously described [13, 14]. For confirmation with serology, SARS-CoV-2 antibodies were analyzed by 2 independent antibody tests: a lateral flow immunochromatographic assay (gold nanoparticle–based, SGIT flex Covid 19; Sugentech, Daejeon, South Korea) and an electrochemiluminescence immunoassay (Elecsys Anti–SARS-CoV-2; Roche International Diagnostics AG, Rotkreuz, Switzerland). Seropositivity was defined as a positive IgM signal in the lateral flow immunochromatographic assay in the acute phase that was confirmed by IgG in the electrochemiluminescence immunoassay after 3–4 weeks.

Patients were categorized into 3 cohorts: (1) a cohort of patients with mild illness without requirement of hospitalization (mCOVID), with data collected at the LLS and the KSSG; (2) a discovery cohort of patients with severe illness (sdCOVID), with data obtained at the USZ; and (3) an independent confirmation cohort of patients with severe illness (scCOVID), with data from the KSSG. Mild illness was defined as an uncomplicated upper respiratory tract infection with unspecific symptoms or uncomplicated pneumonia, while severe illness for the sdCOVID and scCOVID cohorts required hospitalization and included severe pneumonia, acute respiratory distress syndrome, and septic shock [15].

#### Immunoglobulins and aPL Testing

The aPL antibodies were determined by fluorescence enzyme immunoassay on a Phadia 250 analyzer (Thermo Fisher Diagnostics AG, Steinhausen, Switzerland) using EliA cardiolipin and EliA beta 2 glycoprotein-1 assays for IgG, IgA, and IgM isotoypes (all Thermo Fisher Diagnostics AG, Steinhausen, Switzerland). Total IgA and IgG were determined on a Cobas c 501 analyzer (Roche International Diagnostics AG, Rotkreuz, Switzerland) with a nephelometric assay (IgA-2, Tina-quant IgA Gen.2; IgGu2, Tina-quant IgG Gen.2; Roche International Diagnostics AG, Rotkreuz, Switzerland). IgG against Sjögren's-syndrome-related antigen A (SS-A) and B (SS-B), anti-double-stranded DNA (dsDNA), Smith proteins (Sm), chromatin, and ribonucleoproteins (RNP) were simultaneously determined by bead-based suspension array principle on a Bioplex 2200 System (Biorad Laboratories, Cressier, Switzerland). Coefficients of variations, as determined by commercially available control materials, were 4.5% for total IgA, <2.0% for total IgG, 4.6% for aPL antibodies, and 3.7% for lupus antibodies. Measurements were performed at the Labormedizinisches Zentrum Dr. Risch, Vaduz, Liechtenstein.

#### **Statistical Methods**

Point estimates of autoantibody levels were described using the mean and the standard error of the mean. Differences in autoantibody levels between cohorts were assessed using the nonparametric Mann-Whitney test. All *P* values were adjusted for multiple hypothesis testing using the false discovery rate method. Statistical significance was defined as a false discovery rate < 0.05. All analyses were performed using R software, version 3.5.0 (R Project for Statistical Computing, Vienna, Austria). Furthermore, we generated hierarchical clustering (Spearman clustering distance and a complete clustering method) on the median-centered values of antibody levels. Missing values were replaced with the respective mean value across the remaining patients.

## **RESULTS**

## **Patient Characteristics**

We collected a total of 64 serum or plasma samples from patients with SARS-CoV-2 infection (1 sample per patient). The median age of all patients was 62 years (interquartile range [IQR], 46–74), and 32 (60%) were male. The mCOVID cohort comprised 26 (41%) patients, with a median age of 57 years (IQR, 45–63), and 9 (35%) were male. There were 38 (59%) patients who fulfilled the criteria for severe COVID-19. The median age of all severely ill patients was 70 years (IQR, 58–76) and, as opposed to the patients in mCOVID, the severely ill patients were predominantly male (28; 74%). Of those, the sdCOVID cohort included 14 (22%) patients, with a median age of 64 years (IQR, 56–70), and the scCOVID cohort included 24 (38%) patients, with a median age of 73 years (IQR, 64–80). For the SARS-CoV-2 diagnosis, 57 (89%) of 64 patients were screened by RT-PCR and 7 (11%) patients by serology. Of 26 patients in the mCOVID cohort, 4 (15%) had comorbidities predisposing them to severe COVID-19, of which

the most frequent was hypertension (3/26; 12%). In contrast, 26 (93%) severely ill patients had at least 1 comorbidity, of which the most common were hypertension (26; 68%), cardiovascular disease (25; 66%), and diabetes mellitus (13/38; 34%). Of note, 13 (34%) patients with severe COVID-19 developed thromboses during hospitalization, which did not correlate with D-dimer levels (P = .48; 2-sided t-test). Patient characteristics and laboratory values are listed in Table 1.

Table 1. Overview of Patient Characteristics

9 (35)	8 (57)	00 (00)
	8 (57)	00 (00)
47 (05)		20 (83)
17 (65)	6 (43)	4 (17)
57 (45–63)	64 (56–70)	73 (64–80)
22 (85)	2 (14)	0 (0)
4 (15)	12 (86)	24 (100)
3 (12)	10 (71)	16 (67)
0 (0)	6 (43)	19 (79)
0 (0)	0 (0)	6 (25)
1 (4)	5 (36)	8 (33)
0 (0)	0 (0)	5 (21)
0 (0)	0 (0)	9 (38)
0 (0)	5 (36)	7 (29)
	22 (85) 4 (15) 3 (12) 0 (0) 0 (0) 1 (4) 0 (0) 0 (0)	22 (85) 2 (14) 4 (15) 12 (86) 3 (12) 10 (71) 0 (0) 6 (43) 0 (0) 0 (0) 1 (4) 5 (36) 0 (0) 0 (0) 0 (0) 0 (0)

Yes	0 (0)	4 (29)	9 (38)
No	26 (100)	10 (71)	15 (63)
Immunosuppression, b n (%)			
Yes	0 (0)	5 (36)	5 (21)
No	26 (100)	9 (64)	19 (79)
Laboratory parameters, median (IQR)			
C-reactive protein, c mg/l	n/a	228 (95–303)	201 (161–262)
Patients contributing to this calculation, n (%)	0 (0)	14 (100)	24 (100)
D-dimers, <sup>c</sup> mg/l	n/a	1.69 (1.01–2.41)	1.94 (.99–12.0
Patients contributing to this calculation, n (%)	0 (0)	10 (71)	21 (88)
4			<b>•</b>

Abbreviations: COVID-19, coronavirus disease 2019; IQR, interguartile range; n/a, not available.

## **Antibody Results**

Severely ill COVID-19 patients had significantly higher total IgA titers compared to mCOVID patients (sdCOVID mean, 2.94 g/l [standard deviation  $\{SD\}$ ,  $\pm 0.46$ ; P = .01]; scCOVID mean, 3.04 g/l [SD,  $\pm 0.19$ ; P < .001]), but not higher total IgG (sdCOVID mean, 7.69 g/l [SD,  $\pm 0.55$ ; P = .09]; scCOVID not measured). They also had significantly higher anticardiolipin IgA (sdCOVID mean, 6.38 U/ml [SD,  $\pm 0.96$ ; P < .001]; scCOVID mean, 4.86 U/ml [SD,  $\pm 0.84$ ; P < .001]), anti-beta 2 glycoprotein-1 IgA (sdCOVID mean, 8.50 U/ml [SD,  $\pm 3.86$ ; P < .001]; scCOVID mean, 4.71

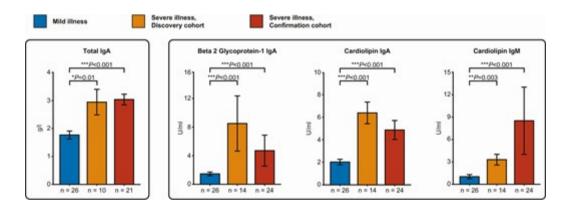
<sup>&</sup>lt;sup>a</sup>Comorbidities only consider diagnoses that are known risk factors for developing severe COVID-19, as listed.

<sup>&</sup>lt;sup>b</sup>Immunosuppression is defined as systemically administered prednisone ≥7.5mg/day (or equivalent); other systemic immunosuppressive drugs, such as calcineurin inhibitors; and chemotherapy.

<sup>&</sup>lt;sup>c</sup>Maximum value during hospitalization. Only patients with values available were included (n provided in consecutive line). Percentages adding to >100 are due to number rounding.

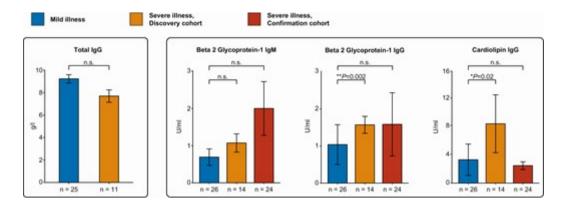
U/ml [SD,  $\pm 2.17$ ; P < .001), and anticardiolipin IgM (sdCOVID mean, 4.01 U/ml [SD,  $\pm 0.88$ ; P = .003]; scCOVID mean, 10.35 U/ml [SD,  $\pm 5.48$ ; P < .001]), as shown in Figure 1. We found significant differences only in the sdCOVID but not the scCOVID cohort with 2 other aPL antibodies: anticardiolipin IgG (sdCOVID mean, 8.23 U/ml [SD,  $\pm 4.02$ ; P = .02]; scCOVID mean, 2.42 [SD,  $\pm 0.54$ ; P = .09]) and anti-beta 2 glycoprotein-1 IgG (sdCOVID mean, 1.57 U/ml [SD,  $\pm 0.23$ ; P = .002]; scCOVID mean, 1.58 U/ml [SD,  $\pm 0.85$ ; P = .15]). No significant difference was found among anti-beta 2 glycoprotein-1 IgM among the cohorts (sdCOVID mean, 1.07 U/ml [SD,  $\pm 0.25$ ; P = .16]; scCOVID mean, 2.00 U/ml [SD,  $\pm 0.72$ ; P = .16]), as shown in Figure 2. A hierarchical clustering analysis further demonstrates common elevations of antibody titers among patients with mild and severe COVID-19 (see Figure 3). We could not detect a correlation between sex and IgA-aPL (anticardiolipin IgA male mean, 4.46 U/ml [SD,  $\pm 3.70$ ] and female mean, 3.47 U/ml [SD,  $\pm 3.31$ ; P = .26]; anti-beta 2 glycoprotein-1 IgA male mean, 3.89 U/ml [SD,  $\pm 8.65$ ] and female mean, 4.67 U/ml [SD,  $\pm 10.9$ ; P = .76]), as shown in Supplementary Figure 1. Also, there was no correlation between age and IgA-aPL (anti-beta 2 glycoprotein-1 IgA R = .10 [P = .45]; anticardiolipin IgA R = .19 [P = .12]), presented in Supplementary Figure 2.

Figure 1.



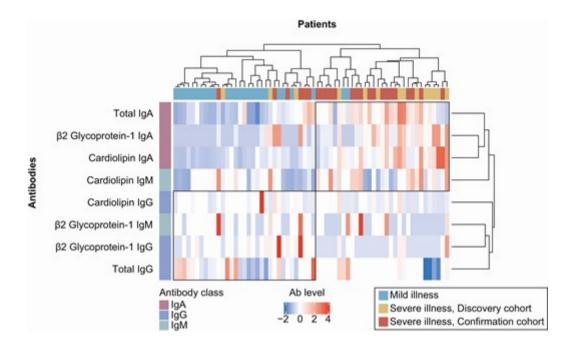
Antibodies significantly associated with severe COVID-19 in both cohorts. Plot titles indicate the respective protein targets and type of immunoglobulin. Y-axes reflect measured results and units. X-axes display patient counts. Abbreviations: COVID-19, coronavirus disease 2019; Ig, immunoglobulin.

Figure 2.



Antibodies with partial or no significant association with severe COVID-19. Plot titles indicate the respective protein targets and type of immunoglobulin. Y-axes reflect measured results and units. X-axes display patient counts. Abbreviations: COVID-19, coronavirus disease 2019; Ig, immunoglobulin; n.s., not significant.

Figure 3.



Hierarchical clustering of antibody levels across patients. The heat map depicts common shifts of antibody levels among patients with mild illness and severe COVID-19. Rows show antibodies and columns show patients. All antibody levels are median centered and are displayed as fold-relative compared to the median, with higher titers colored red and lower titers blue. For missing results, the mean value of the respective antibody/immunoglobulin was used. The scale bar (bottom center) denotes the x-fold levels. Abbreviations: COVID-19, coronavirus disease 2019; Ig, immunoglobulin.

Since APS is commonly triggered by SLE, serology screening was performed for all patients, as described in the Methods section. While 1 patient from the scCOVID cohort had elevated anti-La IgG (7.4 U/ml; normal, <1 U/ml), there were no other lupus-specific antibodies. None of the other patients were positive for any SLE-associated antibodies.

## **DISCUSSION**

In this study, we measured total IgA and IgG, as well as aPL, in COVID-19 patients of similar age, and compared the results of mildly ill and severely ill patients from independent tertiary-care health-care centers. For severely ill patients, we established discovery and investigation cohorts. Our novel finding shows a marked elevation of total IgA that is significantly associated with severe COVID-19, which to our knowledge has not been reported before. There is no significant association with total IgG. These data support our hypothesis that a strong, IgA-driven immune

response possibly emerges from the bronchial-associated lymphoid tissue when SARS-CoV-2 affects the deeper respiratory system [16]. In line with literature, about a third of severely ill patients developed thromboses [10] that did not correlate with D-dimer levels [17, 18]. These thromboses may be explained by an elevation of total IgA and aPL IgA antibodies, which we found to be significantly associated with severe illness. This correlation could not be seen for total IgG, nor for aPL IgG antibodies. While an association of elevated aPL and severe COVID-19 has been suggested [19], the elevation of total IgA together with IgA-aPL when comparing mild and severe COVID-19 is novel, and draws the missing link between immune response and hypercoagulation, as it strongly suggests the induction of IgA-dominated APS. APS is most commonly triggered by SLE [12], which was excluded in all patients through serological screening [20]. Another potential trigger of APS is infection, including pneumonia, that leads to autoimmunity via molecular mimicry [21–23]. In the case of COVID-19, such a mechanism may be mediated by pulmonary surfactant, as it is rich in phospholipid-binding proteins [24]. Surfactant is produced by Type II pneumocytes, which express high levels of angiotensin-converting enzyme 2 receptors and are a primary target of SARS-CoV-2 [8, 25]. Pneumocyte necrosis leads to surfactant leakage, exposing phospholipid proteins to the immune system. It is feasible that peptide commonalities between SARS-CoV-2 and surfactant proteins induce APS. Indeed, high coverage of such peptide commonalities was recently demonstrated by Kanduc and Shoenfeld [26], who found that almost half of the immunoreactive epitopes on the spike glycoprotein of SARS-CoV-2 share pentapeptides on human surfactant-related proteins. In practice, preliminary results of the Randomised Evaluation of COVID-19 Therapy (RECOVERY) trial demonstrate a significant benefit of dexamethasone therapy for severely ill patients [27], further suggesting an important role of immune exacerbation in COVID-19-related deaths. The effects of aPL could be further enhanced by toll-like receptor-4, which is upregulated in patients with SARS [28] and has been shown to enhance hypercoagulation [29]. Importantly, these data support a link between IgA and Kawasaki disease-like multisystem inflammatory syndrome in children (MIS-C). MIS-C is a novel COVID-19-related disease that shows significant overlaps with classical Kawasaki disease (KD), but has a later onset and tends to be more severe [30, 31]. Interestingly, classical KD is most commonly associated with respiratory viruses [32], and rare, severe KD has been shown to be associated with organ deposits of IgA-producing plasma cells [33, 34]. This suggests that elevated total IgA may have a causal role in MIS-C.

## **Study Limitations**

The main limitations of the study are its cohort sizes and retrospective design. Furthermore, the study does not include longitudinal measurements of antibodies. Due to the inconsistent availability of data on past history of thrombosis, proinflammatory cytokines, and clinical outcome, these factors could not be considered. Furthermore, C-reactive protein and D-dimer levels were only available from the severely ill cohorts. Nevertheless, the inclusion of cohorts from independent health-care centers, as well as discovery and confirmation cohorts of patients with severe COVID-19 from different hospitals for additional validation, are major strengths of the study.

In conclusion, we present novel, significant associations between severe COVID-19, elevated total IgA, and IgA-aPL. These findings imply that autoantibodies may hold a causal role in severe COVID-19 and its systemic complications. The data strongly suggest that COVID-19 is a potent inductor of autoimmunity, and we recommend further studies with larger cohorts, longitudinal sampling, and mechanistic exploration.

## **Notes**

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**Potential conflicts of interest.** J. M. P. is founder and a shareholder of Apeiron (Vienna, Austria), which is developing soluble angiotensin-converting enzyme 2 as a coronavirus disease 2019 (COVID-19) therapy, but has no direct conflict of interest related to the paper or data in the paper. All other authors report no potential conflicts. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

Presented in part: Parts of this study have been previously reported as a preprint (medRxiv, 24 July 2020, doi: 10.1101/2020.07.21.20159244).

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Issue Section: Major Article

# **Supplementary data**

ciaa1496\_suppl\_Supplementary\_Materials - docx file

## **Comments**

0 Comments Comments (0)